

# Attribution of climate forcing to economic sectors

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**A much-cited bar chart provided by the Intergovernmental Panel on Climate Change displays the climate impact, as expressed by radiative forcing in watts per meter squared, of individual chemical species. The organization of the chart reflects the history of atmospheric chemistry, in which investigators typically focused on a single species of interest. However, changes in pollutant emissions and concentrations are a symptom, not a cause, of the primary driver of anthropogenic climate change: human activity. In this paper, we suggest organizing the bar chart according to drivers of change—that is, by economic sector. Climate impacts of tropospheric ozone, fine aerosols, aerosol-cloud interactions, methane, and long-lived greenhouse gases are considered. We quantify the future evolution of the total radiative forcing due to perpetual constant year 2000 emissions by sector, most relevant for the development of climate policy now, and focus on two specific time points, near-term at 2020 and long-term at 2100. Because sector profiles differ greatly, this approach fosters the development of smart climate policy and is useful to identify effective opportunities for rapid mitigation of anthropogenic radiative forcing.**

global warming | mitigation | air pollution | ozone | aerosols

Carbon dioxide (CO<sub>2</sub>) is the most important single contributor to global climate change and therefore mitigation policies and actions must focus on this species even though impacts may take decades to be realized. The coemitted air pollutants tropospheric ozone (O<sub>3</sub>) and fine aerosol particles also significantly affect global climate but in complex ways involving both warming and cooling (1). These air pollutants, hereafter referred to as short-lived species (SLS), have short atmospheric lifetimes of days to weeks such that changes in their precursor emissions will have a swift change in radiative forcing. Their combined climate forcing effect since preindustrial times may outweigh that of CO<sub>2</sub> (2). Concerns about the rapid rate at which climate is changing at present place urgent emphasis on exploiting the potential benefit of SLS (especially O<sub>3</sub> and black carbon) reductions in global climate change. The ability to evaluate these benefits is somewhat confounded by the coemitted aerosols that cool the climate, complex interactions between gas and aerosol pollutants, and the lack of useful metrics for air pollutants with uneven spatial distributions.

O<sub>3</sub> is a greenhouse gas that warms the atmosphere. Most fine aerosol particles, including sulfate, nitrate, and organic carbon, scatter solar radiation back to space and lead to cooling, except for black carbon, which absorbs solar radiation and warms the atmosphere. Aerosols also affect climate by modifying the properties of clouds. Hygroscopic aerosols that serve as efficient cloud condensation nuclei can increase cloud droplet number concentrations (CDNC) and reduce cloud droplet effective sizes ( $R_{\text{eff}}$ ) if cloud liquid water content remains unchanged (3)—the first indirect effect. A consequence of smaller droplet sizes is that they do not grow large enough to participate in cloud droplet coalescence processes, inhibiting precipitation formation and increasing cloud liquid water path (LWP) and cloud lifetime (4)—the second indirect effect. The absorbing black carbon aerosol has additional climate effects though solar heating of the boundary

layer that leads to the evaporation of clouds—the semidirect effect (5) and changes in snow albedo that are highly uncertain. The combined direct and indirect effects of aerosols exert a net cooling that may have masked about 50% of the global warming by greenhouse gases (6, 7).

O<sub>3</sub> is not emitted directly, but is formed in the atmosphere by complex nonlinear chemical production and loss processes that are variable in space and time. The O<sub>3</sub> budget involves a stew of gaseous species, including carbon monoxide (CO), methane (CH<sub>4</sub>), nonmethane volatile organic compounds (NMVOCs), nitrogen oxides (NO<sub>x</sub>), water vapor, as well as aerosols and sunlight. Sulfate and nitrate aerosols are also intimately linked to O<sub>3</sub> photochemistry because they are formed from the precursor gases SO<sub>2</sub>, NH<sub>3</sub>, and NO<sub>x</sub> with rates that depend upon the availability of key tropospheric oxidants. As well as being an O<sub>3</sub> precursor, CH<sub>4</sub> is itself an important greenhouse gas (2) but has a considerably longer lifetime than the SLS, around 9–10 yr in the troposphere. At the same time, O<sub>3</sub> precursor emissions impact CH<sub>4</sub> indirectly through changing the CH<sub>4</sub> lifetime. CO and NMVOC emissions tend to reduce oxidation capacity and thus increase the CH<sub>4</sub> lifetime. NO<sub>x</sub> emissions tend to increase oxidation capacity and thus decrease the CH<sub>4</sub> lifetime. The changes in CH<sub>4</sub> induced by SLS precursors will also affect O<sub>3</sub> on the longer time scale of the CH<sub>4</sub> lifetime (8). Each human activity or economic sector emits a multifarious portfolio of these precursor gases and aerosols simultaneously. Each sector also emits long-lived greenhouse gases (LLGHG) that may reside in the atmosphere for decades to centuries including carbon dioxide (CO<sub>2</sub>) and nitrous oxide (N<sub>2</sub>O); these gases are most traditionally associated with climate change, but they operate on different timescales.

O<sub>3</sub> and fine aerosols are currently controlled by air quality legislation without consideration of their effects on climate. The significant health and economic rewards of abating air pollution are becoming increasingly evident. Eliminating aerosols alone would likely exacerbate climate warming by exposing the Earth system to the full impacts of the greenhouse gases. Policymakers are beginning to consider the benefits of synergies between climate change, energy, and air quality management policies (9). While air quality impacts are frequently considered for climate change policies, the climate effects of air pollutants are not usually considered in air quality policies.

The radiative forcing (RF) concept has been designed to quantify human and natural influences on the climate system and is defined as the net energy flux difference at the top of the atmosphere (TOA) caused by an imposed change in the pollutant loading relative to an unperturbed initial state. The

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RFs are from on-road transportation, household biofuel, and industry. Of these activities, the black carbon RF always dominates over the organic carbon RF, but the ratio is notably highest for on-road transportation and lowest for household biofuel.

The power and industry sectors yield positive nitrate RF indicating that these sectors lead to a net global reduction in nitrate aerosol. These sectors are the two major anthropogenic sources of  $\text{SO}_2$  emissions and hence contributors to sulfate formation. Sulfate and nitrate essentially compete for available  $\text{NH}_3$ , however, sulfate has the advantage due to preferential neutralization and the lower vapor pressure. Therefore, in the case of the emissions mix from the power and industry sectors, ammonium sulfate is formed at the expense of ammonium nitrate and the effect appears to outweigh additional nitrate formation due to coemitted  $\text{NO}_x$  from these activities.

Aerosols from the industry, power, and biomass burning sectors are efficient at increasing CDNC ( $\sim 10\%$  globally) thereby reducing  $R_{\text{eff}}$  ( $\sim -1\%$  globally). In the case of the power and industry sectors, these microphysical changes result in increases in low and total cloud cover ( $\sim 0.02\%$ ), liquid water path ( $\sim 0.24 \text{ gm}^{-2}$ ), and cloud optical depth ( $\sim 0.4\text{--}0.5$ ) that act to enhance reflectivity and result in an AIE RF of up to  $-200 \text{ mWm}^{-2}$ . For biomass burning that takes place predominantly in the tropics, the enhanced AIE RF is mainly due to increases in cloud optical depth ( $\sim 0.23$ ) since impacts on cloud cover and liquid water path are negligible at the global scale (although substantial in the tropics). Aerosols from the on-road transportation and household biofuel sectors cause much smaller global increases in CDNC ( $\sim 1\%$ ) and decreases in  $R_{\text{eff}}$  ( $-0.3\%$ ) since the majority of these aerosols are carbonaceous and do not participate in cloud nucleation processes as effectively as sulfates and nitrates. Cloud optical depth changes are somewhat negligible. For the on-road transportation sector, both low and total cloud cover actually decrease ( $\sim -0.1\%$ ) leading to positive AIE RF, which we ascribe to semidirect effects driven by the large black carbon component of the aerosol mix. A similar situation occurs for the household biofuel sector, although here low cloud cover increases, whereas total cloud cover decreases such that changes in cloud redistribution appear to be dominating over the other indirect effects. In general, on global scales, aerosol impacts from the other individual sectors were not large enough in the model to drive significant AIE RF relative to internal climate variability.

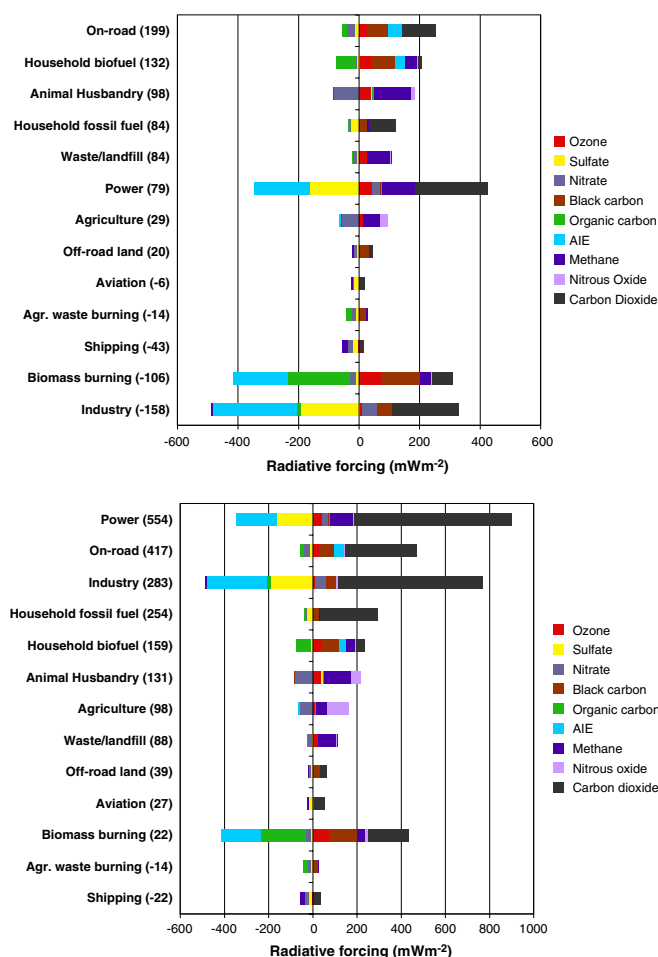
$\text{S-O}_3$  RFs are in general much smaller than aerosol effects. Biomass burning provides the largest  $\text{S-O}_3$  RF and additional important sectors are on-road transportation and household biofuel. The  $\text{NH}_3$  emissions from the agriculture and animals sectors result in small negative  $\text{S-O}_3$  RF due to  $\text{NO}_x$  being sequestered in nitrate aerosol formation.

#### Climate Impacts of $\text{CH}_4$ and Long-Lived Greenhouse Gases by Sector.

A breakdown of the  $\text{CH}_4$ -related and LLGHG RFs by sector are provided in Table S3 and Table S4, respectively. For the LLGHGs values are presented for time points 2020 and 2100. The largest  $\text{CH}_4$  effects are by  $\text{D-CH}_4$  from power, animals, agriculture, and landfill/waste. Of these sectors, only power has an important negative  $\text{I-CH}_4$  RF which counteracts  $\sim 15\%$  of the  $\text{D-CH}_4$ . The domestic biofuel sector has approximately the same magnitude and sign  $\text{D-CH}_4$  and  $\text{I-CH}_4$  whereas for the industry sector the  $\text{I-CH}_4$  is negative and outweighs  $\text{D-CH}_4$ . Shipping and aviation sectors have negligible  $\text{D-CH}_4$  but modest cooling effects through  $\text{I-CH}_4$ .  $\text{M-O}_3$  is larger than  $\text{S-O}_3$  RF for several key sectors (power, agriculture, animals, and waste/landfill).  $\text{CO}_2$  provides the largest individual RFs with the major contributions from the fossil fuel sectors (industry, power, household fossil fuel, and on-road transportation). The  $\text{CO}_2$  RFs are of the same magnitude as the largest direct aerosol RFs in the near-term but increase to about three times the magnitude on longer time scales. Substantial

$\text{N}_2\text{O}$  RF is associated with agriculture and animals while other sectors are unimportant for this agent.

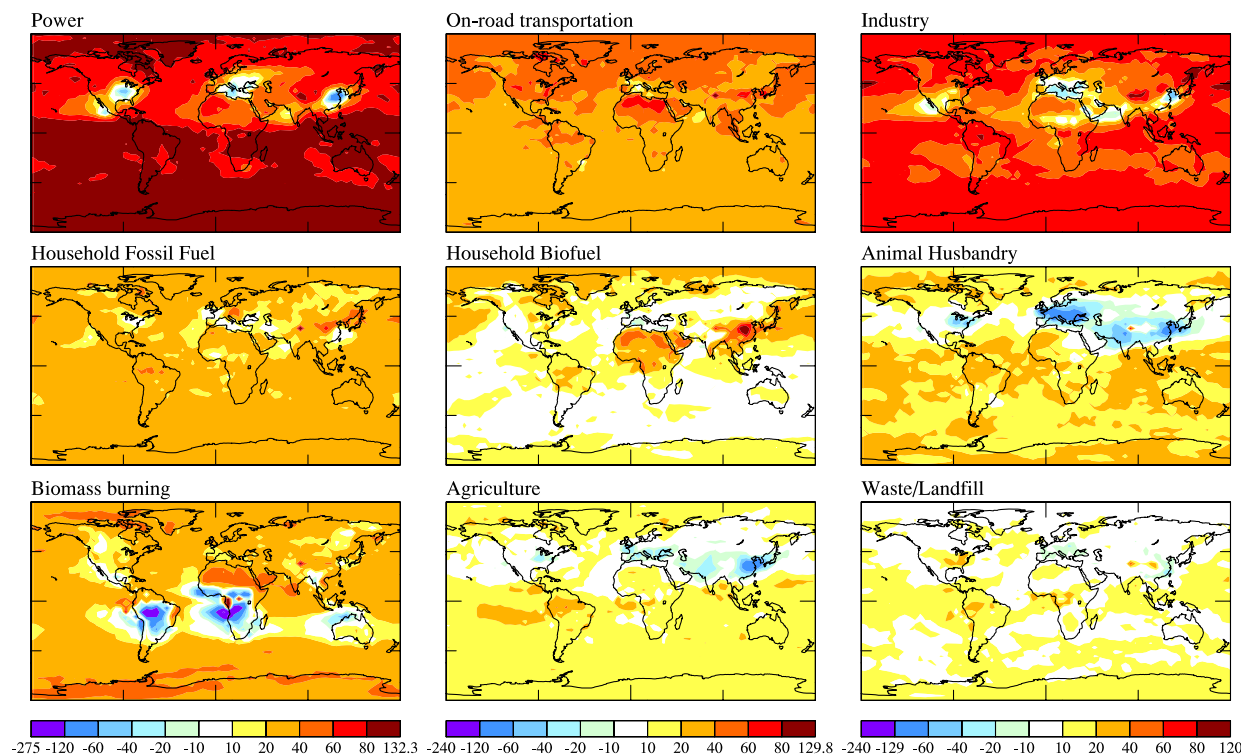
**Synthesis: Climate Impacts of Current Emission Sectors.** We present the future RF from year 2000 emissions at time points 2020 (Fig. 1A) and 2100 (Fig. 1B) grouped by sector. The largest net near-term negative RFs are from the biomass burning and industry sectors due to substantial cooling effects from scattering aerosols and their impacts on clouds. Similarly, the power sector has a large cooling component through aerosols but the large  $\text{CO}_2$  emission from this sector results in a net positive RF. On-road transportation and household biofuel exert the largest net positive RF in the near-term. For on-road transportation, the sum of the SLS effects is about the same as the  $\text{CO}_2$  effect. Animal husbandry and waste/landfill, sectors with the largest  $\text{D-CH}_4$  RF but zero  $\text{CO}_2$  effects, are amongst the largest net warming activities in the near-term. The aviation sector has the smallest RF across all the human activities (consistent with the smaller fuel use relative to other activities). Here we do not include the effects of aviation emissions on contrails and cirrus clouds. The IPCC estimates a RF of  $+10 \text{ mWm}^{-2}$  for the contrail effects (2), although the impacts of aviation emissions on cloudiness are highly uncertain. Aviation RF effects will be discussed further in a separate paper. Shipping has a net cooling effect, again the  $\text{CO}_2$  RF is dominated by the SLS RF. While this sector has insignificant AIE in the present model, other studies suggest much larger AIE (30).



**Fig. 1.** Radiative forcing due to perpetual constant year 2000 emissions grouped by sector at (a) 2020 (b) 2100 showing the contribution from each species. The net sum of total radiative forcing is indicated by the title of each bar. A positive RF means that removal will result in climate cooling and vice versa.







**Fig. 3.** Spatial distribution of the net sum of the future instantaneous radiative forcing at 2100 by short-lived species ( $O_3$ , sulfate, nitrate, black carbon, organic carbon),  $CH_4$  direct and indirect effects and LLGHGs ( $CO_2$  and  $N_2O$ ) due to perpetual constant year 2000 emissions by sector. Units are  $\times 100 \text{ Wm}^{-2}$ .

## Discussion and Conclusions

We have presented a multipollutant RF assessment of the impact of current emission sectors that identifies the total climate impacts of mitigating a range of different pollutants and activities. The results demonstrate that both the SLS and LLGHG need to be considered in smart climate policy to avoid unintended climate consequences. The decadal-scale climate effects of cooling aerosols need to be included in evaluations of control strategies, especially for actions that affect the power, industry, biomass burning, and shipping sectors. The sectoral bar chart can be used, for example, to assess the impacts of new technologies on climate, or in tandem with the stabilization wedge approach of Pacala and Socolow (32). The information provided is complementary to the single-species information provided by the IPCC assessments.

If the policy goal is to achieve rapid and immediate reduction in anthropogenic RF, then effective opportunities lie in reducing emissions from the on-road transportation, household biofuel, and animal husbandry sectors. The on-road transportation total RF is fairly robust with uncertainty in the range 20–40% whereas uncertainties are higher for household biofuel (~160%) and animal husbandry (~90%). Reducing emissions from the on-road transportation sector is particularly attractive because this action yields both rapid and longer-term climate benefits. Newly emerging public health research indicates that traffic-related particulate matter is more toxic than inorganic components like sulfate and nitrate from the power sector (33) so reducing emissions from on-road transportation has additional benefits for human health. In order to protect the Earth's climate in the longer-term and tackle concerns about climate change toward the end of this century, then emphasis must be placed on reducing emissions from the power and industry sectors consistent with other findings (34). Total power RF is more robust than for industry (~50–70% versus ~40–120%). Caution must be taken in reducing emissions from the industry sector since this action will considerably accelerate near-term warming. A similar situation would occur for reducing

emissions from biomass burning consistent with previous analyses of this sector (14).

Limitations of the study include uncertainties in the SLS direct and indirect RF that are driven by uncertainties in the model and the emission inventory. We recommend future multimodel studies of sector-based RF, with an eye to understanding how model uncertainties may affect the comparisons presented here. This study is based on present day emissions and therefore assumes the current mix of air pollution controls on the major emission sectors, which are generally effective in the developed world. Future growth in the major sectors is likely to proceed mostly in the developing world. The SLS RF are dependent on emission location, typically the RF efficiency is greater for pollutants emitted in lower latitudes (where insolation and photochemical activity are higher) as opposed to the midlatitudes (e.g. 8, 17). For that reason, the results presented here should not be directly extrapolated to understanding future development and energy scenarios. However, in a previous study, in which we quantified the SLS RF by global sector for a future scenario that features substantial emission reductions in developed countries and rapid economic growth in developing countries, the total SLS sectoral RF values for 2030 were remarkably similar to the values reported here for the power, industry, transportation, and household biofuel sectors (17). At least for that particular future scenario, results are similar for an altered geographic distribution of emissions.

The sectors in the present study are still somewhat broad. Future work will consider even greater detail within sectors, for example power stations that operate with coal or natural gas, heavy and light duty vehicles, and regional sectors. Policy decisions regarding effective mitigation actions also depend upon other critical factors including cost and feasibility.

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